



Transparent Governance, Robust Risk Management and Timely Compliance

In the aftermath of the recent economic turmoil, various industries and geographies realized the importance of enhanced governance, robust risk management practices and stringent regulatory guidelines, in order to keep pace with financial innovation.

Syntel's expertise in core areas of Dodd Frank, Solvency 2, MiFID, AML, KYC and BASEL gives us an advantage over other service providers, to make us your preferred business partner in conforming to regulations that affect the banking and finance world, and impact your business. Our Governance, Risk and Compliance (GRC) offerings assist you in understanding the nuances of the regulations, and conform to enterprise-wide risk management, while ensuring a boost in your business processes and enhanced efficiency.

Syntel's GRC Offerings

Syntel's GRC offerings range across the banking and finance industry, assisting organizations in assessing their threats, complying to statutory regulations and decreasing operational threats.



Framework and Accelerators

Syntel's GRC service offerings are unique, flexible and scalable, with the following frameworks and accelerators:

- **Dodd Frank Implementation – Tools and Analyzers**
- **Real Time Risk Profiling and KYC Checks, via MDM Hub**
- **Outlier Data Detection (ODDS) utility**
- **Risk Analytics Framework through Hadoop**
- **Data Mining-Risk Analytics in Banking**
- **ICAAP – Internal Capital Adequacy Assessment Plan**
- **Stress Testing Frameworks**

Business Benefits

- **Dedicated Practice teams, Domain Certification Programs, Product Alliances and Solution Accelerators to ensure a smart and speedy implementation**
- **Metrics accountability, dashboards and measurable year-on-year improvements**
- **Expertise and knowledge sharing in core areas of Dodd Frank, Solvency, MiFID, BASEL, SOX, AML, KYC and other risk regulations**
- **Seamless integration of process knowledge and IT capabilities, to deliver service offerings with cutting edge technological expertise**

Syntel's GRC Success stories

The numerous projects at Syntel have given rise to some prominent success stories. A few examples:

- **Counterparty Risk Exposure Monitor for a leading Global Custodian Bank**
- **Implementation of the Dodd Frank Act for a top Credit Rating Agency**
- **BASEL III Market Risk Implementation for a leading Investment Bank**
- **Operational Risk Management for a Global Investment Manager**

WHY SYNTEL?

Syntel has been a pioneering business partner in the GRC space and has developed proficient teams for each aspect of Risk Assessment and Mitigation. These SMEs have rich domain knowledge and indepth experience in handling organization-wide risk management:

- Predictive Analysis for Risk Mitigation
- Operational Risk Management
- Governance and Regulatory Compliance Cell
- Market Risk and Risk Modeling
- Product Expertise and Partnerships
- Strong IT framework to support reporting, reconciliations and stress testing
- Enterprise Data Warehouse and central repositories